

PART B - FEE(S) TRANSMITTAL

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02/17/2006

Malcolm J. Chisholm, Jr.

220 Main Street

P.O. Box 278 05/02/2006 HDEHES2 00000019 501307 10612688

Lee, MA 01238

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Malcolm J. Chisholm, Jr.

(Depositor's name)

(Signature)

MAY 1, 2006

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,688	07/02/2003	Richard D. Breault	C-2765	4397

TITLE OF INVENTION: PASSIVE WATER MANAGEMENT SYSTEM FOR A FUEL CELL POWER PLANT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	05/17/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
CANTELMO, GREGG	1745	429-026000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

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1 MALCOLM J. CHISHOLM, Jr

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3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

UTC FUEL CELLS, LLC

South Windsor, Connecticut

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1307 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date May 1, 2006

Typed or printed name

Malcolm J. Chisholm, Jr.

Registration No. 33,665

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Malcolm J. Chisholm, Jr.

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Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

The submitted papers are: 1. This Certificate of Transmission (1 page); and 2. "PART B - FEE(S) TRANSMITTAL" (two duplicates) (2 pages); all for U.S. Patent App. Serial No. 10/612,688, filed on 07/02/2003, entitled "Passive Water Management System for a Fuel Cell Power Plant".

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